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1317-160

APPLICATION NUMBER

FILDIO OR STI(C) DATE

PEST NAMED APPLICANT

ATTY DOCKST NO /TITLE

10/667,151

09/18/2003

Sheng-Ping Zhong

03-15IUSI

CONFIRMATION NO. 8726
ABANDONMENT/TERMINATION
LETTER

27774
MAYER & WILLIAMS PC
251 NORTH AVENUE WEST
2ND FLOOR
WESTFIELD, NJ 07080
10/16/2006 HTEKLENI 00000009 501047 10667151

BEST AVAILABLE COPY

01 FC:1202

7000.00 DA

Date Mailed: 04/12/2005_

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 12/12/2003.

No reply was received.

if a complate reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The patition must be accompanied by a true copy of the originally filed reply and the item (e) identified in one of the following:

- 1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
- If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
- 3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a pattion to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(i); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/81.

Under 37 CFR 1.137(h), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-

Adjustment date: 11/13/2006 SDIRETA1 10716/2006 MTEKLEMI 00000009 501047 10667151 01 FC:1202 7800400 CR2P:61 9002 21 Unc

5677-812-808:x67

MAYER & WILLIAMS PC

106671

I hereby certify that this correspondence is being deposited on October 31, 2006 Ewith the United States Postal Service with sufficient postage as first class mail under 37 CFR 1.8 in an envelope addressed to: Mail Stop 16, Director of US Patent & Trademark Office, P.O. Box - 3 PH 3: 57 1450, Alexandria, VA 22313-1450.

Date 10/31/06 Signature: Joanne Ryan CFFICE

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: Deposit Account # 50-1047 Mayer & Williams PC

REQUEST FOR REFUND

Mayer & Williams requests a refund of \$4480 based on the following charges listed on the October 2006 Deposit Account Statement, copy enclosed:

| DATE | SEQ | POSTING | ATTORNEY | FEE CODE | AMT |
|-------|-----|----------|------------|----------|----------------|
| | | REF TXT | DOCKET NBR | | 7000 00 |
| 10/16 | 24 | 10667151 | 03-151US1 | 1202 | 7000.00 |

The above-referenced charge for additional claims fees was made after the issuance of Decision on Petition, mailed October 2, 2006 (copy enclosed). The Decision states that the petitioner's arguments and evidence were found persuasive. Quoting the Decision, "A review of the response filed March 15, 2004 confirms that it included the authorization to charge any required fees to the Deposit Account. In fact it appears that all fees were charged except the additional claims fees. There is no

Page 2, Request for Refund

evidence in the record that at the time the Deposit Account was insufficient to cover payment of all

of the fees." It is appropriate that after the issuance of the Decision the additional claims fees not

previously charged would now be charged to petitioner's Deposit Account. However, the additional

claims fees were charged at the current rate, i.e., 140 additional claims @ \$50 per claim, with a

deduction from the account of \$7000. The additional claims fees should have been charged at the

rate in effect when the Response to the Missing Parts Notice was filed, March 15, 2004, i.e., 140

additional claims @ \$18 per claim, for a cost of \$2520, as is stated in the Petition filed June 12, 2006

(copy enclosed).

Accordingly, applicant's attorney respectfully requests a refund of \$4480, being the difference

between the additional claims fees charged at the current rate, \$7000, and the additional claims fees

charged at the appropriate rate in effect in March 2004, \$2520.

Applicant's attorney respectfully requests a refund of \$4480 to the above-referenced Deposit

Account No. 50-1047.

Respectfully submitted,

David B. Bonham Reg. No. 34,297

Mayer & Williams PC

251 North Avenue West, 2nd Floor

Westfield, NJ 07090

Date: October 30, 2006

Tel.:703-433-0510

Fax: 703-433-2362

| 10/12 127 11544836 GS210 1011 10/12 128 11544836 GS210 1111 10/12 129 11544836 GS210 1311 10/12 130 11544837 9000/1 CI 1011 10/12 132 11544837 9000/1 CI 1311 10/12 133 11544837 9000/1 CI 1202 10/12 131 11544837 9000/1 CI 1111 10/12 50 E-REPLENISHMENT 9203 10/12 277 11545439 05-00159 1011 10/12 278 11545439 05-00159 1111 10/12 280 11545439 05-00159 1311 10/12 280 11545439 05-00159 1202 | \$300.00 \$10,608.00 \$500.00 \$10,108.00 \$200.00 \$9,908.00 \$300.00 \$9,608.00 \$200.00 \$9,408.00 \$350.00 \$9,058.00 \$500.00 \$8,558.00 \$5,000.00 \$13,558.00 \$300.00 \$13,258.00 | |
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| 10/18 201 10678035 8021 10/18 4 11076794 00300-012003 1811 10/18 28 60851950 00300/017001 2005 10/19 336 11581933 00140/004002 2011 10/19 337 11581933 00140/004002 2311 10/19 339 11581933 00140/004002 2311 10/19 340 11581933 00140/004002 2202 10/19 340 11581933 00140/004002 2203 10/19 20 60852246 00300/017002 2005 10/20 177 11582699 GS213 1011 10/20 178 11582699 GS213 1311 10/20 180 11582698 50W8525.01 1011 10/20 181 11582698 50W8525.01 1202 10/20 182 11582698 50W8525.01 1311 10/23 5 10310674 00140/004001 1253 10/23 44 29267653 GS 223 1012 10/23 45 29267653 GS 223 1112 | \$40.00 \$12,248.00 \$100.00 \$12,148.00 \$100.00 \$12,048.00 \$150.00 \$11,648.00 \$250.00 \$11,548.00 \$25.00 \$11,523.00 \$11,523.00 \$100.00 \$11,243.00 \$300.00 \$10,443.00 \$200.00 \$9,943.00 \$300.00 \$9,943.00 \$500.00 \$9,043.00 \$500.00 \$9,043.00 \$500.00 \$9,043.00 \$500.00 \$8,843.00 \$510.00 \$8,333.00 \$510.00 \$8,333.00 \$100.00 \$8,033.00 \$100.00 \$8,033.00 | |
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| | | | 9203 | -\$5,000.00 | \$12,883.00 |
|-----------|-----------------|-------------------------|-------------|-------------|-------------|
| 10/23 73 | E-REPLENISHMENT | | | \$40.00 | \$12,843.00 |
| 10/23 208 | 11582699 | GS213 | 00= - | \$40.00 | \$12,803.00 |
| 10/23 209 | 11582698 | 50W8525.01 | 2253 | \$510.00 | \$12,293.00 |
| 10/24 2 | 10714070 | 010007 | 2401 | \$250.00 | \$12,043.00 |
| 10/24 3 | 10714070 | 010007 | 7001 | \$1,300.00 | \$10,743.00 |
| 10/25 389 | 77028214 | 2051/122 | | \$40.00 | \$10,703.00 |
| 10/25 43 | 29267653 | GS 223 | 8021 | \$300.00 | \$10,403.00 |
| 10/26 53 | 11585469 | GS211 | 1011 | \$500.00 | \$9,903.00 |
| 10/26 54 | 11585469 | GS211 | 1111 | \$200.00 | \$9,703.00 |
| 10/26 55 | 11585469 | GS211 | 1311 | \$150.00 | \$9,553.00 |
| 10/26 130 | 11585484 | 00140/009002 | 2011 | \$100.00 | \$9,453.00 |
| 10/26 132 | | 00140/009002 | 2311 | • | \$9,203.00 |
| 10/26 131 | | 00140/009002 | 2111 | \$250.00 | \$8,413.00 |
| 10/26 55 | 10965967 | 00-0238US02 | 1801 | \$790.00 | \$7,963.00 |
| 10/26 56 | 10965967 | 00-0238US02 | 1252 | \$450.00 | \$7,563.00 |
| 10/26 57 | 10965967 | 00-0238US02 | 1202 | \$400.00 | |
| 10/26 5 | 7051170 | | 1811 | \$100.00 | \$7,463.00 |
| 10/20 3 | 7001110 | | | | |
| | START | SUM OF | SUM OF | END | • |
| | BALANCE | CHARGES | REPLENISI | H BALANCE | |
| • | \$8,460.00 | \$35,997.00 | \$35,000.00 | \$7,463.00 | |
| | \$0,400.00 | → ~ - • · | | | |

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Deposit Account Statement

Requested Statement Month:

October 2006

Deposit Account Number:

501047

Name:

Attention:

MAYER & WILLIAMS PC.

Address:

251 NORTH AVENUE WEST, 2ND FLOOR

City:

WESTFIELD

State:

Zip:

NJ 07090

Country:

UNITED STATES

| DATE SEC | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-----------|--------------------|---------------------------|-------------|-------------|-------------|
| 10/02 1 | 10688224 | 10177-151-999 | 1801 | \$790.00° | \$7,670.00 |
| 10/02 55 | E-REPLENISHMENT | • | 9203 | -\$5,000.00 | \$12,670.00 |
| 10/02 130 | 10608978 | 103002 | 2401 | \$250.00 | \$12,420.00 |
| 10/02 2 | 10377131 | 01-551US2 | 1201 | \$1,600.00 | \$10,820.00 |
| 10/02 34 | PCT/US06/36245 | 05-0026PCT | 1703 | \$12.00 | \$10,808.00 |
| 10/03 1 | 11070925 | 64897-010510 | 2253 | \$510.00 | \$10,298.00 |
| 10/03 125 | 10757708 | PP-19768.002 | 1251 | \$120.00 | \$10,178.00 |
| 10/03 1 | 10830950 | 02-440 | 1202 | \$400.00 | \$9,778.00 |
| 10/04 35 | 10798230 | 00118/005001 | 2814 | \$65.00 | \$9,713.00 |
| 10/04 1 | 10945018 | GS 175 D2 | 1202 | \$50.00 | \$9,663.00 |
| 10/04 2 | 10945018 | GS 175 D2 | 1252 | \$450.00 | \$9,213.00 |
| 10/05 20 | E-REPLENISHMENT | | 9203 | -\$5,000.00 | \$14,213.00 |
| 10/05 1 | 10424621 | BSC-270 | 1801 | \$790.00 | \$13,423.00 |
| 10/05 252 | 09935442 | 00-0118 | 8021 | \$40.00 | \$13,383.00 |
| 10/05 251 | 11542420 | GS207 | 1011 | \$300.00 | \$13,083.00 |
| 10/05 252 | 11542420 | GS207 | 1111 | \$500.00 | \$12,583.00 |
| 10/05 253 | 11542420 | GS207 | 1311 | \$200.00 | \$12,383.00 |
| 10/10 3 | 10608978 | 103002 | 2253 | \$510.00 | \$11,873.00 |
| 10/10 389 | PCT/US06/38405 | 05-0035PCT | 1601 | \$300.00 | \$11,573.00 |
| 10/10 390 | PCT/US06/38405 | 05-0035PCT | 1704 | \$2,059.00 | \$9,514.00 |
| 10/10 391 | PCT/US06/38405 | 05-0035PCT | 1702 | \$1,086.00 | \$8,428.00 |
| 10/10 392 | PCT/US06/38405 | 05-0035PCT | 8007 | \$20.00 | \$8,408.00 |
| 10/10 100 | 11542420 | GS207 | 8021 | \$40.00 | \$8,368.00 |
| 10/11 54 | E-REPLENISHMENT | | 9203 | -\$5,000.00 | \$13,368.00 |
| 10/11 54 | 10382552 | 02-412 | 1251 | \$120.00 | \$13,248.00 |
| 10/11 98 | 09914279 | PP01573.101 | 1255 | \$2,160.00 | \$11,088.00 |
| 10/11 91 | 10377131 | 01-551US2 | 1806 | \$180.00 | \$10,908.00 |



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WWW.uplo.gov

Paper No.

MAYER & WILLIAMS PC 251 NORTH AVENUE WEST 2ND FLOOR WESTFIELD NJ 07090 OCT 0 2 2006
OFFICE OF PETITIONS

In re Application of :
Zhong et al. :
Application No. 10/667,151 :
Filed: September 18, 2003 :
Attorney Docket No. 03-151US1 :

DECISION ON PETITION

This is a decision on the "PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 CFR 1.181(a) AND STATEMENT ACCOMPANYING PETITION" filed June 12, 2006.

The above-identified application became abandoned for failure to file a timely and proper reply to the Notice to File Missing Parts of Application mailed December 12, 2003. The Notice set a two-month time limit for applicants to file an executed oath or declaration, and submit payment of the filing fee, additional claim fees and the late surcharge. Extensions of time were permitted under § 1.136(a).

On March 15, 2004, applicants filed a response, made timely by an accompanying petition for extension of time with the first month (and extension fee). However, on April 2, 2004, the Office mailed a Notice of Incomplete Reply, stating that Office mailed a not submitted sufficient fee payment. The Notice applicants had not submitted sufficient fee payment. The Notice further stated that the period continued to run from that set in the Notice mailed December 12, 2003. No further reply received and no further extension obtained, the above-identified application became abandoned on March 13, 2004. A Notice of Abandonment was mailed on April 12, 2006.

In response, petitioner timely filed the instant petition. Petitioner states that the response filed March 15, 2004 was complete. Specifically, petitioner indicates that it included an authorization to charge any required fees to a Deposit Account and that during the relevant period the Deposit Account had more than sufficient funds to pay the fees. Further,

Application No. 10/667,151

petitioner states that the Notice of Incomplete Application was never received.

Petitioner's arguments and evidence have been considered and found persuasive. A review of the response filed March 15, 2004, confirms that it included the authorization to charge any required fees to the Deposit Account. In fact, it appears that all fees were charged except the additional claim fees. There is no evidence in the record that at the time the Deposit Account was insufficient to cover payment of all of the fees. Thus, the evidence supports a conclusion that the response filed March 15, 2004 was complete.

Moreover, the petition includes a showing to the satisfaction of the Director that the Notice of Incomplete Reply was not received. The practitioner attests to not receiving the Office action. The petition includes a statement from patent paralegal Marjorie Scariati that a search of the file jacket and docket records indicates that the Notice was not received. In addition, a copy of the docket record where the non-received Notice would have been entered had it been received and docketed is enclosed.

In view thereof, the Notice of Abandonment mailed April 12, 2006 is <u>VACATED</u> and the holding of abandonment is hereby <u>WITHDRAWN</u>.

The petition under § 1.181 is GRANTED.

No fee is required on petition under § 1.181.

The Office of Initial Patent Examination (OIPE) has been advised of this decision. The application file is, thereby, forwarded to OIPE to withdraw the holding of abandonment and to complete pre-examination processing including charging any outstanding claim fees pursuant to the authorization timely filed March 15, 2004.

Telephone inquiries specific to this decision should be directed to the undersigned at (571) 272-3219.

Mancy Johnson

senior detitions Attorney

Office of Petitions

Serial No.: 10/667,151

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sheng-Ping Zhong et al.

Serial No.: 10/667,151

Filed: 09/18/2003

Title: INJECTABLE THERAPEUTIC FORMULATIONS

Art Unit: 1642

Examiner: Unassigned

Confirm. No.: 8726

Docket No.: 03-151US1

Via Facsimile: 571-273-8300

Attn: Office of Initial Patent Examination

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 CFR 1.181(a) AND STATEMENT ACCOMPANYING PETITION

Sir:

This Petition to Withdraw Holding of Abandonment is filed in response to the Notice of Abandonment mailed April 12, 2006.

The Notice of Abandonment indicates that no reply to the Notice to File Missing Parts mailed December 12, 2003 was filed. However, the applicant did timely file a response via first class mail on March 12, 2004, true copy enclosed.

Per the requirements of the Notice of Abandonment, receipt of the Response to Notice to File Missing Parts by the USPTO is confirmed by the enclosed copy of the itemized date-stamped postcard, which includes a Patent and Trademark Office Date Stamp of March 15, 2004. In the response, the Commissioner was authorized to charge all required fees to the undersigned attorney's PTO Deposit Account. Per the enclosed copy of the undersigned attorney's PTO

1

Serial No.: 10/667,151

Deposit Account statement of March 2004, page 2 of 4, all fees believed to be due were charged to Deposit Account 50-1047 on March 17, 2004. Authorization to charge any deficiencies was provided in the Response, and there were ample funds in the account to cover any additional fees due.

In reviewing the status of the application on June 8, 2006, the undersigned attorney's legal assistant, Marjorie Scariati, noted that a Notice of Incomplete Reply was apparently mailed on April 2, 2004, requiring the payment of additional claims fees in the amount of \$2,520, due to the existence of multiple dependent claims. The Notice of Incomplete Reply was never received in the undersigned attorney's office.

Enclosed please find a statement signed by Ms. Scariati, in which she states that the Notice of Incomplete Reply was not received, and attests to the fact that a search of the file jacket and docket records indicates that the Notice of Incomplete Reply was not received. A copy of the docket record where the non-received Notice would have been entered had it been received and docketed is enclosed as well.

In view of the above circumstances, Applicant hereby petitions the Commissioner to grant this Petition to Withdraw Holding of Abandonment and to charge the payment of additional claims fees in the amount of \$2,520. The additional claims fees should be billed at the original amount of \$2520, and should not be calculated based on the current fee structure, because these fees should simply have been charged to our deposit account in 2004 when due, as we had provided authorization to do so, and as we had sufficient funds in the account.

In the alternative, the Commissioner may wish to mail a new Notice to File Missing Parts. (In this regard, the period for reply to the Notice of Incomplete Reply ran from the mailing date of the Notice to File Missing Parts. However, the period for response to the Notice to File Missing Parts has passed. Thus, issuing a new Notice of Incomplete Reply running from the mailing date of the earlier Notice to File Missing Parts would be pointless.)

While it is not believed that any fees are due as a result of this Petition to Withdraw Holding of Abandonment, the Commissioner is hereby authorized to charge any fees that may be due to the undersigned attorney's PTO Deposit Account #50-1047.

Serial No.: 10/667,151

Respectfully submitted,

David B. Bonham Re

Reg. No. 34,297

Attorney for Applicant Mayer & Williams, PC 251 North Avenue West, 2nd Floor Westfield, NJ 07090

Tel.: 703-433-0510 Fax: 703-433-2362

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this document, and any document referenced herein, has been transmitted via facsimile to the US Patent and Trademark Office, Office of Initial Patent Examination at (571) 273-8300 on

6/12/06

Mazorie Ligriatio

251 North Avenue West, 2nd Floor Westfield, New Jersey 07090

Tel.: (908) 518-7700 Fax: (908) 518-7795

Mayer & Williams PC Intellectual Property Law

Fax

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|-------|--------|------------------------|------------|--------|-------------------|------------------|
| Re: | 10/6 | 67,151 | | CC: | | |
| Phone | : | | · | Date: | 06/12/2006 | |
| Fax: | 571- | 273-8300 | <u> </u> | Pages: | | |
| To: | Office | e of Initial Patent Ex | amination | From: | Marjorie Scariati | |

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If you received this communication in error, please immediately notify us by a collect telephone call to the writer and return the original message and documents to us at the above address via the United States postal service.

Please see the attached Petition to Withdraw Holding of Abandonment Under 37 CFR 1.181(a) and Statement Accompanying Petition, including copy of the Notice of Abandonment, date-stamped itemized postcard, copy of Deposit Account statement of March 2004 (page 2 of 4), Statement of Marjorie Scariati, and copy of docket record



TATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1450 Alexandra, Viginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/667,151

251 NORTH AVENUE WEST

WESTFIELD, NJ 07090

MAYER, FORTKORT & WILLIAMS, PC

09/18/2003

Sheng-Ping Zhong

03-151US1

CONFIRMATION NO. 8726

Date Mailed: 12/12/2003

FORMALITIES LETTER

OC000000011482724

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*OC0

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/17/2004 LUDHDIN1 00000037 501047 10667151

01 FC:1001 770.00 DA

27774

2ND FLOOR

FILED UNDER 37 CFR 1.53(b)

2 FC:1051 130.00 DA 3 FC:1202 342.00 DA 4 FC:1203 290.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

(132 poems

• Additional claim fees of \$3152 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

*16ys

Total additional fee(s) required for this application is \$4852 for a Large Entity

Pto em

• \$770 Statutory basic filing fee.

11/13/2006 SDIRETA1 00000001 10667151

01 FC:1202

2520.00 DA